

22883

PATENT TRADEMARK OFFICE

PTO/SB/96 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Andrew ConwayApplication No./Patent No.: 09/776,244 Filed/Issue Date: February 2, 2001Entitled: Autonomous Data MiningSilicon GeneticsCorporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.


☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

22 March 2001
Date


Signature
Andrew Conway
Typed or printed name
President
Title



43

Please type a plus sign (+) inside this box → ☐PTO/SB/81 (10-00)
Approved for use through 10/31/2002. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	09/776,244
Filing Date	February 2, 2001
First Named Inventor	Andrew CONWAY
Group Art Unit	n/y/a
Examiner Name	n/y/a
Attorney Docket Number	208.1001.02

I hereby appoint:

☒ Practitioners at Customer Number
OR☐ Practitioner(s) named below:

22883 →



Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.**OR**☐ Firm or
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

Name

Andrew Conway, President

Signature

Date

22 March 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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PTO/SB/01A (10-00)

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
- ☒ Application No. 09/776,244, filed on February 2, 2001,
- ☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)Inventor one: Andrew ConwaySignature: *Andrew Conway* Citizen of: AustraliaInventor two: Peter EastmanSignature: _____ Citizen of: USInventor three: Howard SnortlandSignature: _____ Citizen of: USInventor four: Barrett EynonSignature: _____ Citizen of: US☐ Additional inventors are being named on _____ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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- ☐ as amended on _____ (if applicable);

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I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that wilful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)Inventor one: Andrew ConwaySignature: _____ Citizen of: AustraliaInventor two: Peter EastmanSignature: Peter Eastman Citizen of: USInventor three: Howard SnortlandSignature: Howard Snortland Citizen of: USInventor four: Barrett EynonSignature: _____ Citizen of: US☐ Additional inventors are being named on _____ additional form(s) attached hereto.

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All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

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All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

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COPY



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PATENT TRADEMARK OFFICE
ASSIGNMENT

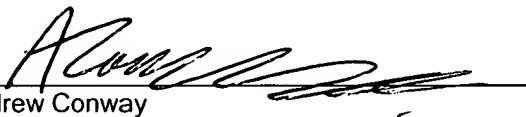
208.1001.02

WHEREAS, We, Andrew Conway, a citizen of Australia, residing at 15E Escondido Village, Stanford, California 94305, Peter Eastman, a citizen of United States, residing at 1145 Alameda de las Pulgas, #14, Belmont, California 94002, Howard Snortland, a citizen of United States, residing at 879 Roble Avenue, Apt. 9, Menlo Park, California 94025, and Barrett Eynon, a citizen of United States, residing at 517 Glenwood Avenue, Apt. K, Menlo Park, California 94025, have invented a **"Autonomous Data Mining"** for which we have executed application papers for a U.S. patent thereon, which was filed on **February 2, 2001, Serial No. 09/776,244**; and

WHEREAS, Silicon Genetics, a California corporation, having a place of business at 2601 Spring Street, Redwood City, CA 94063 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Andrew Conway, Peter Eastman, Howard Snortland, and Barrett Eynon, do sell, assign, transfer and set over unto the said Silicon Genetics, its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Silicon Genetics, its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Redwood City, this 5 day of March, 2001.


Andrew Conway

Peter Eastman

Howard Snortland

Barrett Eynon

COPY



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PATENT TRADEMARK OFFICE
ASSIGNMENT

208.1001.02

WHEREAS, We, Andrew Conway, a citizen of Australia, residing at 15E Escondido Village, Stanford, California 94305, Peter Eastman, a citizen of United States, residing at 1145 Alameda de las Pulgas, #14, Belmont, California 94002, Howard Snortland, a citizen of United States, residing at 879 Roble Avenue, Apt. 9, Menlo Park, California 94025, and Barrett Eynon, a citizen of United States, residing at 517 Glenwood Avenue, Apt. K, Menlo Park, California 94025, have invented a "**Autonomous Data Mining**" for which we have executed application papers for a U.S. patent thereon, which was filed on **February 2, 2001, Serial No. 09/776,244**; and

WHEREAS, Silicon Genetics, a California corporation, having a place of business at 2601 Spring Street, Redwood City, CA 94063 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Andrew Conway, Peter Eastman, Howard Snortland, and Barrett Eynon, do sell, assign, transfer and set over unto the said Silicon Genetics, its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Silicon Genetics, its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Redwood City, this 5 day of March, 2001.

Andrew Conway

Peter Eastman
Peter Eastman

Howard Snortland

Barrett Eynon

Assignment

COPY



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PATENT TRADEMARK OFFICE
ASSIGNMENT

208.1001.02

WHEREAS, We, Andrew Conway, a citizen of Australia, residing at 15E Escondido Village, Stanford, California 94305, Peter Eastman, a citizen of United States, residing at 1145 Alameda de las Pulgas, #14, Belmont, California 94002, Howard Snortland, a citizen of United States, residing at 879 Roble Avenue, Apt. 9, Menlo Park, California 94025, and Barrett Eynon, a citizen of United States, residing at 517 Glenwood Avenue, Apt. K, Menlo Park, California 94025, have invented a "**Autonomous Data Mining**" for which we have executed application papers for a U.S. patent thereon, which was filed on February 2, 2001, Serial No. 09/776,244; and

WHEREAS, Silicon Genetics, a California corporation, having a place of business at 2601 Spring Street, Redwood City, CA 94063 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Andrew Conway, Peter Eastman, Howard Snortland, and Barrett Eynon, do sell, assign, transfer and set over unto the said Silicon Genetics, its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Silicon Genetics, its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Redwood City, this 5th day of MARCH, 2001.

Andrew Conway

Peter Eastman

Howard Snortland
Howard Snortland

Barrett Eynon

Assignment

COPY



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PATENT TRADEMARK OFFICE
ASSIGNMENT

208.1001.02

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WHEREAS, Silicon Genetics, a California corporation, having a place of business at 2601 Spring Street, Redwood City, CA 94063 is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and in all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, We, Andrew Conway, Peter Eastman, Howard Snortland, and Barrett Eynon, do sell, assign, transfer and set over unto the said Silicon Genetics, its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all letters patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Silicon Genetics, its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at Redwood City, this 6th day of March, 2001.

Andrew Conway

Peter Eastman

Howard Snortland

Barrett Eynon

Barrett Eynon

Assignment